

### 第三章 純量粒子在 Schwarzschild 背景中

#### 3.1 方程式的推導

靜止質量為  $m_0$  的純量粒子在質量為  $M$  的 Schwarzschild 黑洞背景中，粒子所遵循的方程式為

$$\frac{1}{\sqrt{-g}} \partial_\mu (\sqrt{-g} \partial^\mu \Phi) - m_0^2 \Phi = 0 \quad (3.1)$$

其中  $\partial_\mu = \frac{\partial}{\partial x^\mu}$ ， $\partial^\mu = g^{\mu\nu} \partial_\nu$ ，由 Schwarzschild 度規可得  $g = \det(g_{\mu\nu}) = -r^4 \sin^2 \theta$ ，代入(3.1)式

$$\frac{1}{r^2 \sin \theta} \partial_\mu (r^2 \sin \theta \partial^\mu \Phi) - m_0^2 \Phi = 0$$

$$\frac{1}{r^2 \sin \theta} \left\{ \begin{aligned} & \left[ \frac{\partial}{\partial t} \left[ -r^2 \sin \theta \frac{1}{(1-2M/r)} \frac{\partial}{\partial t} \Phi \right] + \frac{\partial}{\partial r} \left[ r^2 \sin \theta (1-2M/r) \frac{\partial}{\partial r} \Phi \right] \right] \\ & + \frac{\partial}{\partial \theta} \left[ r^2 \sin \theta \frac{1}{r^2} \frac{\partial}{\partial \theta} \Phi \right] + \frac{\partial}{\partial \varphi} \left[ r^2 \sin \theta \frac{1}{r^2 \sin^2 \theta} \frac{\partial}{\partial \varphi} \Phi \right] \end{aligned} \right\} - m_0^2 \Phi = 0$$

整理可得

$$\left[ \begin{aligned} & -\frac{1}{(1-2M/r)} \frac{\partial^2 \Phi}{\partial t^2} + (1-2M/r) \frac{\partial^2 \Phi}{\partial r^2} \\ & + \frac{1}{r^2} \left\{ \frac{\partial}{\partial r} [r^2 (1-2M/r)] \right\} \frac{\partial \Phi}{\partial r} + \frac{1}{r^2 \sin \theta} \frac{\partial}{\partial \theta} \left( \sin \theta \frac{\partial \Phi}{\partial \theta} \right) \\ & + \frac{1}{r^2 \sin^2 \theta} \frac{\partial^2 \Phi}{\partial \varphi^2} - m_0^2 \Phi \end{aligned} \right] = 0 \quad (3.2)$$

令波函數

$$\Phi = \exp(-i\omega t) f(r) Y_{lm}(\theta, \varphi) \quad (3.3)$$

將(3.3)式代入(3.2)式，並且利用(2.9)式與(2.11)式，(3.2)式可變成

$$\left[ \begin{aligned} & [r^2(1-2M/r)] \frac{d^2 f}{dr^2} + [2r(1-M/r)] \frac{df}{dr} \\ & + \left[ \frac{r^2}{(1-2M/r)} \omega^2 - l(l+1) - m_0^2 r^2 \right] f(r) \end{aligned} \right] = 0 \quad (3.4)$$

將(3.4)式以完整單位的形式寫出

$$\left[ \begin{aligned} & \left[ r^2 \left( 1 - \frac{2GM/c^2}{r} \right) \right] \frac{d^2 f}{dr^2} + \left[ 2r \left( 1 - \frac{GM/c^2}{r} \right) \right] \frac{df}{dr} \\ & + \left[ \frac{r^2}{\left( 1 - \frac{2GM/c^2}{r} \right)} \left( \frac{E}{\hbar c} \right)^2 - l(l+1) - \left( \frac{m_0 c}{\hbar} \right)^2 r^2 \right] f(r) \end{aligned} \right] = 0 \quad (3.5)$$

令

$$x(r) = r + (2GM/c^2) \ln \left[ \frac{r}{(2GM/c^2)} - 1 \right]$$

$$f = \frac{\phi}{r} \quad (3.6)$$

(3.5)式變成

$$\frac{d^2 \phi}{dx^2} + \left\{ \left( \frac{E}{\hbar c} \right)^2 - \left[ \frac{\left( \frac{2GM}{c^2} \right) \left( 1 - \frac{2GM/c^2}{r} \right)}{r^3} + l(l+1) \frac{\left( 1 - \frac{2GM/c^2}{r} \right)}{r^2} + \left( \frac{m_0 c}{\hbar} \right)^2 \left( 1 - \frac{2GM/c^2}{r} \right) \right] \right\} \phi = 0 \quad (3.7)$$

(3.7)式可再進一步化成

$$\frac{d^2 \phi}{dx^2} + \left\{ \left[ \left( \frac{E}{\hbar c} \right)^2 - \left( \frac{m_0 c}{\hbar} \right)^2 \right] - V \right\} \phi = 0 \quad (3.8)$$

其中位能

$$V = \left( 1 - \frac{2GM/c^2}{r} \right) \left[ \frac{l(l+1)}{r^2} + \frac{2GM/c^2}{r^3} \right] - \left( \frac{m_0 c}{\hbar} \right)^2 \left( \frac{2GM/c^2}{r} \right)$$

當  $m_0 = 0$  時，(3.8)式與(2.34)式的形式相同，只有位能不同而已，這兩個方程式的位能可以由下列形式的位能給出

$$V = \left( 1 - \frac{2GM/c^2}{r} \right) \left[ \frac{l(l+1)}{r^2} + \sigma \frac{2GM/c^2}{r^3} \right]$$

$$\sigma = \begin{cases} +1 & \text{scalar test field} \\ -3 & \text{axial gravitational perturbation} \end{cases}$$

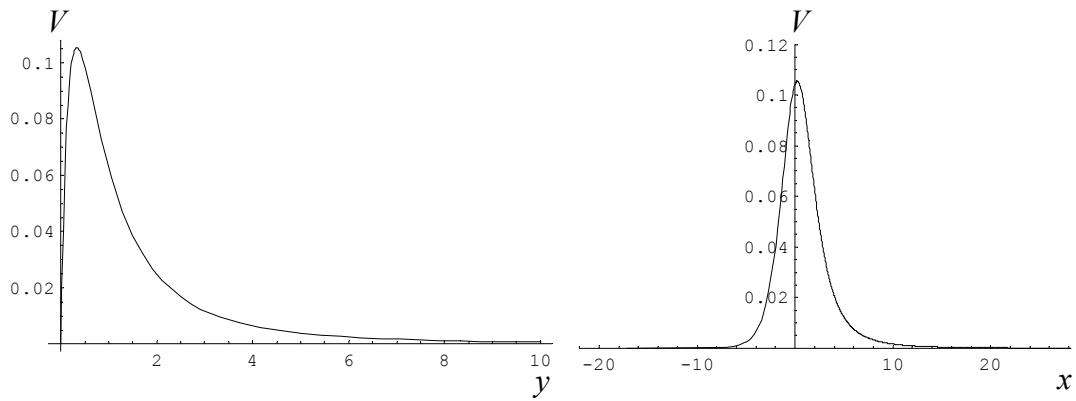
$\sigma = 1 - \tau^2$ ，其中  $\tau \in \{0, 2\}$  是微擾場的自旋。

令  $y = \frac{r}{2GM/c^2} - 1$ ，位能變成

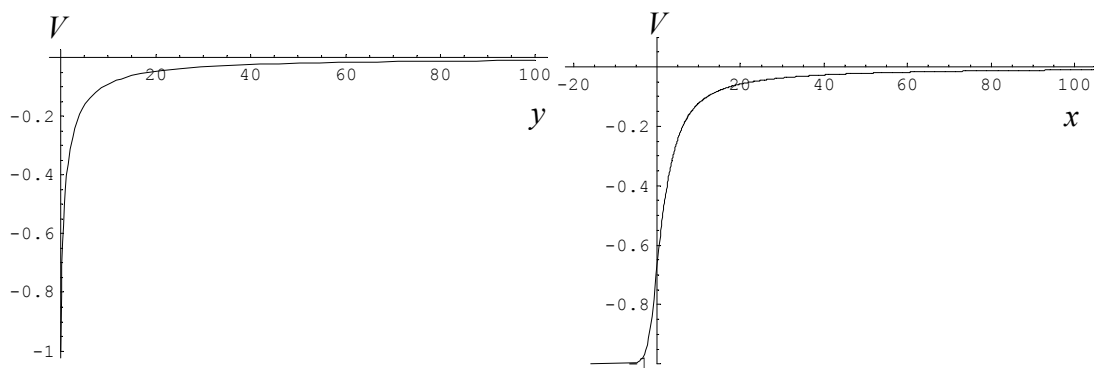
$$V = \frac{1}{(2GM/c^2)^2} \left[ \frac{y}{(y+1)^4} + l(l+1) \frac{y}{(y+1)^3} \right] - \left( \frac{m_0 c}{\hbar} \right)^2 \frac{1}{y+1}$$

相對論自由粒子的能量為  $E^2 = c^2 \vec{p}^2 + m_0^2 c^4$ ，比較(3.8)式，可知靜止質量為  $m_0$  的純量粒子在重力場中運動受到一等效位能  $V$ ，這與帶電荷  $q$  的粒子在電磁場中運動的動量算符  $\vec{p} = -i\hbar\nabla - \frac{q}{c}\vec{A}$  不盡相同。

$m_0 = 0$ ， $l = 0$ ， $2GM/c^2 = 1$  的位能圖



$m_0 c/\hbar = 1$ ， $l = 0$ ， $2GM/c^2 = 1$  的位能圖



$m_0c/\hbar = 1$  ,  $l = 2$  ,  $2GM/c^2 = 1$  的位能圖

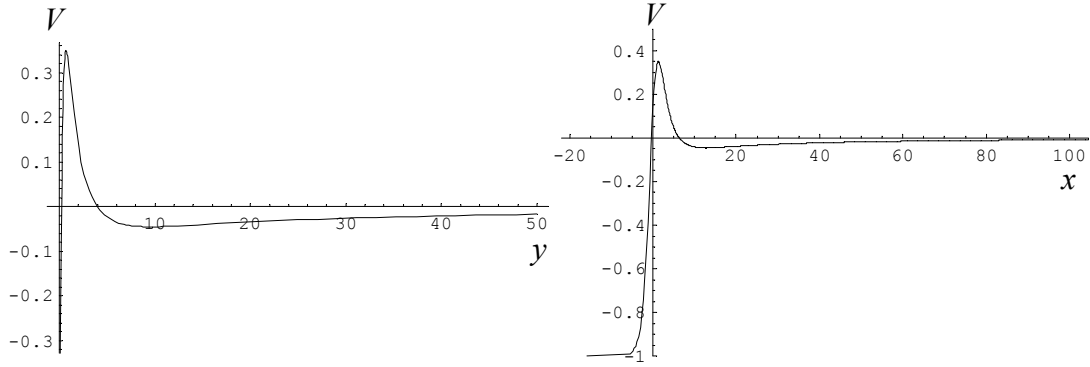


圖 3.1：在以上各圖中，左圖 horizon 位在  $y = 0$  ，右圖 horizon 位在  $x = -\infty$  。

### 3.2 連續態

當  $x \rightarrow -\infty$  (即  $y \rightarrow 0$  或  $r \rightarrow 2GM/c^2$ ) 時,  $V \rightarrow -(m_0c/\hbar)^2$ , (3.8)式變成

$$\frac{d^2\phi}{dx^2} + \left(\frac{E}{\hbar c}\right)^2 \phi = 0 \quad (3.9)$$

已知  $x = \frac{2GM}{c^2}[y + 1 + \ln(y)]$ , 由(3.9)式解得

$$\begin{aligned} \phi &= a_0'' \exp\left[i\left(\frac{E}{\hbar c}\right)x\right] + b_0'' \exp\left[-i\left(\frac{E}{\hbar c}\right)x\right] \\ &= a_0' \exp\{i2\zeta[y + \ln(y)]\} + b_0' \exp\{-i2\zeta[y + \ln(y)]\} \end{aligned}$$

再從(3.6)式可知

$$f(y) = a_0 \frac{\exp\{i2\zeta[y + \ln(y)]\}}{y+1} + b_0 \frac{\exp\{-i2\zeta[y + \ln(y)]\}}{y+1} \quad (3.10)$$

(3.10)式是當  $r \rightarrow 2GM/c^2$  時的徑向波函數。

當  $x \rightarrow \infty$  (即  $y \rightarrow \infty$  或  $r \rightarrow \infty$ ) 時,  $V \rightarrow 0$ , (3.8)式變成

$$\frac{d^2\phi}{dx^2} + \left[\left(\frac{E}{\hbar c}\right)^2 - \left(\frac{m_0c}{\hbar}\right)^2\right] \phi = 0 \quad (3.11)$$

(3.11)式如預期回到自由粒子的 Klein-Gordon 方程式, 可解得

$$f(y) = C_1 \frac{\exp\{i2\zeta\sqrt{1-(\eta/\zeta)^2}[y + \ln(y)]\}}{y+1} + C_2 \frac{\exp\{-i2\zeta\sqrt{1-(\eta/\zeta)^2}[y + \ln(y)]\}}{y+1} \quad (3.12)$$

其中  $\eta = \frac{GMm_0}{\hbar c}$ ，(3.12)式是當  $r \rightarrow \infty$  時的徑向波函數。當(3.12)式中的  $\zeta > \eta$  時，

即  $E > m_0 c^2$ ，為一般的散射態。

若  $\zeta < \eta$ ，即  $0 < E < m_0 c^2$ ，(3.12)式變成

$$f(y) = C_1 \frac{\exp\{-2\sqrt{\eta^2 - \zeta^2}[y + \ln(y)]\}}{y+1} + C_2 \frac{\exp\{2\sqrt{\eta^2 - \zeta^2}[y + \ln(y)]\}}{y+1}$$

當  $x \rightarrow \infty$  (即  $y \rightarrow \infty$  或  $r \rightarrow \infty$ ) 時，我們要求波函數必須為有限值，故取  $C_2 = 0$ ，上式變成

$$f(y) = C_1 \frac{\exp\{-2\sqrt{\eta^2 - \zeta^2}[y + \ln(y)]\}}{y+1} \quad (3.13)$$

但是當  $x \rightarrow -\infty$  (即  $y \rightarrow 0$  或  $r \rightarrow 2GM/c^2$ ) 時徑向波函數是振盪的形式，因此整體而言波函數還是屬於連續態，這和我們原本所預期的不一樣。

### 3.3 連續性方程式

將(3.2)式以完整單位的形式寫出

$$\left[ \left[ -\frac{1}{\left(1 - \frac{2GM}{c^2 r}\right)} \frac{1}{c^2} \frac{\partial^2 \Phi}{\partial t^2} + \left(1 - \frac{2GM}{c^2 r}\right) \frac{\partial^2 \Phi}{\partial r^2} \right. \right. \\ \left. \left. + \frac{1}{r^2} \left\{ \frac{\partial}{\partial r} \left[ r^2 \left(1 - \frac{2GM}{c^2 r}\right) \right] \right\} \frac{\partial \Phi}{\partial r} + \frac{1}{r^2 \sin \theta} \frac{\partial}{\partial \theta} \left( \sin \theta \frac{\partial \Phi}{\partial \theta} \right) \right. \right. \\ \left. \left. + \frac{1}{r^2 \sin^2 \theta} \frac{\partial^2 \Phi}{\partial \varphi^2} - \left(\frac{m_0 c}{\hbar}\right)^2 \Phi \right] = 0 \quad (3.14)$$

將(3.3)式代入(3.14)式，並且利用(2.9)式與(2.11)式，(3.14)式可變成

$$\left[ \begin{aligned} & \left[ -\frac{1}{\left(1-\frac{2GM/c^2}{r}\right)} \frac{1}{c^2} \frac{\partial^2 \Phi}{\partial t^2} + \left(1-\frac{2GM/c^2}{r}\right) \frac{\partial^2 \Phi}{\partial r^2} \right. \\ & \left. + \left[ \frac{2}{r} \left(1-\frac{GM/c^2}{r}\right) \right] \frac{\partial \Phi}{\partial r} + \left[ -\frac{l(l+1)}{r^2} - \left(\frac{m_0 c}{\hbar}\right)^2 \right] \Phi \right] = 0 \end{aligned} \right.$$

令  $y = \frac{r}{2GM/c^2} - 1$ ，上式再變成

$$\left[ \begin{aligned} & \left[ -\left(\frac{2GM}{c^2}\right)^2 \frac{(y+1)^3}{y} \frac{1}{c^2} \right] \frac{\partial^2 \Phi}{\partial t^2} + [y(y+1)] \frac{\partial^2 \Phi}{\partial y^2} + (2y+1) \frac{\partial \Phi}{\partial y} \\ & + \left[ -l(l+1) - \left(\frac{m_0 c}{\hbar}\right)^2 \left(\frac{2GM}{c^2}\right)^2 (y+1)^2 \right] \Phi \end{aligned} \right] = 0 \quad (3.15)$$

$\Phi^* \times (3.15) - \Phi \times (3.15)^*$ ，可得出

$$\left[ \begin{aligned} & \left[ -\left(\frac{2GM}{c^2}\right)^2 \frac{(y+1)^3}{y} \frac{1}{c^2} \right] \left( \Phi^* \frac{\partial^2 \Phi}{\partial t^2} - \Phi \frac{\partial^2 \Phi^*}{\partial t^2} \right) \\ & + [y(y+1)] \left( \Phi^* \frac{\partial^2 \Phi}{\partial y^2} - \Phi \frac{\partial^2 \Phi^*}{\partial y^2} \right) + (2y+1) \left( \Phi^* \frac{\partial \Phi}{\partial y} - \Phi \frac{\partial \Phi^*}{\partial y} \right) \end{aligned} \right] = 0$$

$$\frac{\partial}{\partial t} \left[ -\left(\frac{2GM}{c^2}\right)^2 \frac{(y+1)^3}{y} \frac{1}{c^2} \left( \Phi^* \frac{\partial \Phi}{\partial t} - \Phi \frac{\partial \Phi^*}{\partial t} \right) \right] + \frac{\partial}{\partial y} \left[ y(y+1) \left( \Phi^* \frac{\partial \Phi}{\partial y} - \Phi \frac{\partial \Phi^*}{\partial y} \right) \right] = 0$$

$$\frac{\partial}{\partial t} \left[ -\frac{(y+1)^3}{y} \frac{1}{c^2} \left( \Phi^* \frac{\partial \Phi}{\partial t} - \Phi \frac{\partial \Phi^*}{\partial t} \right) \right] + \frac{\partial}{\partial r} \left[ y(y+1) \left( \Phi^* \frac{\partial \Phi}{\partial r} - \Phi \frac{\partial \Phi^*}{\partial r} \right) \right] = 0$$

上式與連續性方程式  $\frac{\partial}{\partial t} \rho + \frac{\partial}{\partial r} j = 0$  比較可得機率流密度

$$j \propto y(y+1) \left( \Phi^* \frac{\partial \Phi}{\partial r} - \Phi \frac{\partial \Phi^*}{\partial r} \right) \quad (3.16)$$

### 3.4 機率守恆關係式

現在考慮一物理情況，即純量粒子從距離黑洞無限遠處往黑洞視界正向入射，求其反射率與透射率。假設在距離黑洞無限遠處的入射徑向波函數及反射徑向波函數為(3.12)式所示，透射徑向波函數為(3.10)式的第二項。

$$\text{入射徑向波函數：} \quad f_i(y) = C_2 \frac{\exp\left\{-i2\zeta\sqrt{1-(\eta/\zeta)^2}[y+\ln(y)]\right\}}{y+1}$$

$$\text{反射波徑向函數：} \quad f_r(y) = C_1 \frac{\exp\left\{i2\zeta\sqrt{1-(\eta/\zeta)^2}[y+\ln(y)]\right\}}{y+1}$$

$$\text{透射徑向波函數：} \quad f_t(y) = b_0 \frac{\exp\{-i2\zeta[y+\ln(y)]\}}{y+1}$$

由於波函數  $\Phi = \exp(-i\omega t)f(y)Y_{lm}(\theta, \varphi)$ ，可知入射波函數

$$\Phi_i = \exp(-i\omega t)C_2 \frac{\exp\left\{-i2\zeta\sqrt{1-(\eta/\zeta)^2}[y+\ln(y)]\right\}}{y+1} Y_{lm}(\theta, \varphi)$$

$$\Phi_i^* = \exp(i\omega t)C_2^* \frac{\exp\left\{i2\zeta\sqrt{1-(\eta/\zeta)^2}[y+\ln(y)]\right\}}{y+1} Y_{lm}^*(\theta, \varphi)$$

$$\begin{aligned} \frac{\partial\Phi_i}{\partial r} &= \left(\frac{\partial\Phi_i}{\partial y}\right)\left(\frac{\partial y}{\partial r}\right) \\ &= \frac{\exp(-i\omega t)C_2 Y_{lm}(\theta, \varphi)}{(2GM/c^2)} \\ &\quad \times \frac{\left[-i2\zeta\sqrt{1-(\eta/\zeta)^2}(1+1/y)(y+1)-1\right]\exp\left\{-i2\zeta\sqrt{1-(\eta/\zeta)^2}[y+\ln(y)]\right\}}{(y+1)^2} \end{aligned}$$

由(3.16)式可知入射機率流密度

$$j_i \propto y(y+1)\left(\Phi_i^* \frac{\partial\Phi_i}{\partial r} - \Phi_i \frac{\partial\Phi_i^*}{\partial r}\right) = \frac{|C_2|^2 |Y_{lm}(\theta, \varphi)|^2 \left[-i4\zeta\sqrt{1-(\eta/\zeta)^2}\right]}{(2GM/c^2)}$$

已知反射波函數

$$\Phi_r = \exp(-i\omega t)C_1 \frac{\exp\left\{i2\zeta\sqrt{1-(\eta/\zeta)^2}[y+\ln(y)]\right\}}{y+1} Y_{lm}(\theta, \varphi)$$

由(3.16)式可知反射機率流密度

$$j_r \propto y(y+1) \left( \Phi_r^* \frac{\partial \Phi_r}{\partial r} - \Phi_r \frac{\partial \Phi_r^*}{\partial r} \right) = \frac{|C_1|^2 |Y_{lm}(\theta, \varphi)|^2 \left[ i4\zeta \sqrt{1 - (\eta/\zeta)^2} \right]}{(2GM/c^2)}$$

已知透射波函數

$$\Phi_t = \exp(-i\omega t) b_0 \frac{\exp\{-i2\zeta[y + \ln(y)]\}}{y+1} Y_{lm}(\theta, \varphi)$$

由(3.16)式可知透射機率流密度

$$j_t \propto y(y+1) \left( \Phi_t^* \frac{\partial \Phi_t}{\partial r} - \Phi_t \frac{\partial \Phi_t^*}{\partial r} \right) = \frac{|b_0|^2 |Y_{lm}(\theta, \varphi)|^2 (-i4\zeta)}{(2GM/c^2)}$$

反射率

$$R \equiv \left| \frac{j_r}{j_i} \right| = \frac{\left| \frac{|C_1|^2 |Y_{lm}(\theta, \varphi)|^2 \left[ i4\zeta \sqrt{1 - (\eta/\zeta)^2} \right]}{(2GM/c^2)} \right|}{\left| \frac{|C_2|^2 |Y_{lm}(\theta, \varphi)|^2 \left[ -i4\zeta \sqrt{1 - (\eta/\zeta)^2} \right]}{(2GM/c^2)} \right|} = \frac{|C_1|^2}{|C_2|^2} \quad (3.17)$$

透射率

$$T \equiv \left| \frac{j_t}{j_i} \right| = \frac{\left| \frac{|b_0|^2 |Y_{lm}(\theta, \varphi)|^2 (-i4\zeta)}{(2GM/c^2)} \right|}{\left| \frac{|C_2|^2 |Y_{lm}(\theta, \varphi)|^2 \left[ -i4\zeta \sqrt{1 - (\eta/\zeta)^2} \right]}{(2GM/c^2)} \right|} = \frac{1}{\sqrt{1 - (\eta/\zeta)^2}} \frac{|b_0|^2}{|C_2|^2} \quad (3.18)$$

我們可經由以下的過程確認機率守恆關係式  $R + T = 1$ 。(3.7)式可變成

$$y(y+1) \frac{d^2 f}{dy^2} + (2y+1) \frac{df}{dy} + \left[ 4\zeta^2 \frac{(y+1)^3}{y} - l(l+1) - 4\eta^2 (y+1)^2 \right] f(y) = 0 \quad (3.19)$$

$f^*(y) \times (3.19) - f(y) \times (3.19)^*$ ，可得出

$$\begin{aligned} & y(y+1) \left[ f^*(y) \frac{d^2 f}{dy^2} - f(y) \frac{d^2 f^*}{dy^2} \right] + (2y+1) \left[ f^*(y) \frac{df}{dy} - f(y) \frac{df^*}{dy} \right] = 0 \\ \Rightarrow & \frac{d}{dy} \left\{ y(y+1) \left[ f^*(y) \frac{df}{dy} - f(y) \frac{df^*}{dy} \right] \right\} = 0 \end{aligned}$$

從上式可得一與  $y$  無關的式子

$$y(y+1) \left[ f^*(y) \frac{df}{dy} - f(y) \frac{df^*}{dy} \right] = \text{const.} \quad \forall y \quad (3.20)$$

當  $y \rightarrow \infty$  時，徑向波函數



$$f(y) = C_1 \frac{\exp\left\{i2\zeta\sqrt{1-(\eta/\zeta)^2}[y+\ln(y)]\right\}}{y+1} + C_2 \frac{\exp\left\{-i2\zeta\sqrt{1-(\eta/\zeta)^2}[y+\ln(y)]\right\}}{y+1}$$

可得

$$y(y+1)\left[f^*(y)\frac{df(y)}{dy} - f(y)\frac{df^*(y)}{dy}\right] = i4\zeta\sqrt{1-(\eta/\zeta)^2}\left(|C_1|^2 - |C_2|^2\right)$$

當  $y \rightarrow 0$  時，徑向波函數

$$f(y) = b_0 \frac{\exp\{-i2\zeta[y+\ln(y)]\}}{y+1}$$

可得

$$y(y+1)\left[f^*(y)\frac{df(y)}{dy} - f(y)\frac{df^*(y)}{dy}\right] = -i4\zeta|b_0|^2$$

由(3.20)式可知

$$\begin{aligned} i4\zeta\sqrt{1-(\eta/\zeta)^2}\left(|C_1|^2 - |C_2|^2\right) &= -i4\zeta|b_0|^2 \\ \Rightarrow \frac{|C_1|^2}{|C_2|^2} + \frac{1}{\sqrt{1-(\eta/\zeta)^2}} \frac{|b_0|^2}{|C_2|^2} &= 1 \end{aligned}$$

故得機率守恆關係式  $R+T=1$ 。

### 3.5 反射率與透射率的 WKB 近似法

將(3.7)式寫成

$$\frac{d^2\phi}{dx^2} + \left[\left(\frac{E}{\hbar c}\right)^2 - V\right]\phi = 0 \quad (3.21)$$

其中

$$\begin{aligned} V &= \left(\frac{2GM}{c^2}\right) \frac{\left(1 - \frac{2GM/c^2}{r}\right)}{r^3} + l(l+1) \frac{\left(1 - \frac{2GM/c^2}{r}\right)}{r^2} + \left(\frac{m_0 c}{\hbar}\right)^2 \left(1 - \frac{2GM/c^2}{r}\right) \\ &= \frac{1}{(2GM/c^2)^2} \left[ \frac{y}{(y+1)^4} + l(l+1) \frac{y}{(y+1)^3} \right] + \left(\frac{m_0 c}{\hbar}\right)^2 \frac{y}{y+1} \end{aligned}$$

令  $Q^2 = \left(\frac{E}{\hbar c}\right)^2 - V$ ，代入(3.21)式

$$\frac{d^2\phi}{dx^2} + Q^2\phi = 0 \quad (3.22)$$

(3.22)式的 WKB 近似法的解

$$\phi_{1,2}^{(l)}(x) = Q^{-1/2}(x) \exp\left[\pm i \int_l^x Q(x') dx'\right]$$

$m_0c/\hbar = 1$  ,  $l = 3$  ,  $2GM/c^2 = 1$  的  $V$  圖

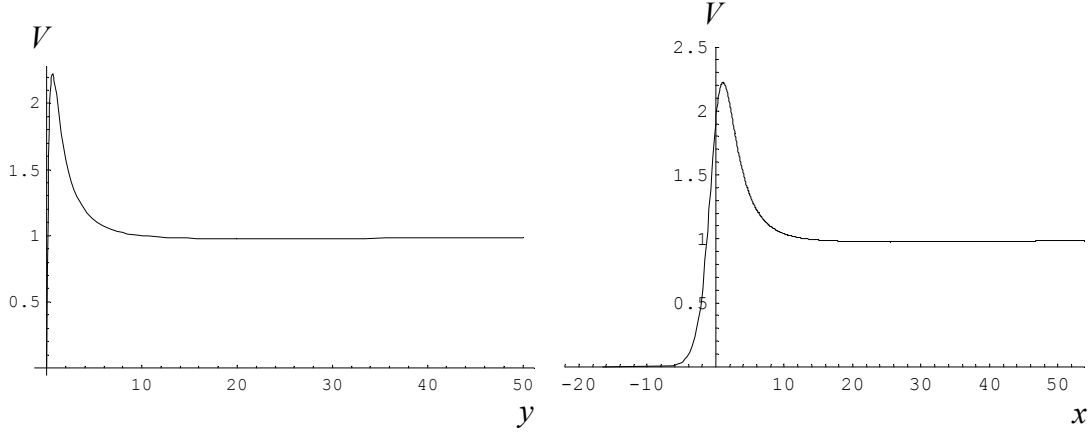


圖 3.2：左圖 horizon 位在  $y = 0$  ，右圖 horizon 位在  $x = -\infty$  。

現在考慮的物理情況與 3.4 節所述物理情況相同，即純量粒子從距離黑洞無限遠處往黑洞視界正向入射，求其反射率與透射率。Region I 為距離黑洞無限遠處至  $(E/\hbar c)^2 = V$  處的區域，Region II 為  $(E/\hbar c)^2 < V$  的區域，Region III 為  $(E/\hbar c)^2 = V$  處至黑洞視界處的區域，則

$$\text{Region I : } \phi_1(x) = Q^{-1/2}(x) \exp\left[-i \int_{x_2}^x Q(x') dx'\right] + C Q^{-1/2}(x) \exp\left[i \int_{x_2}^x Q(x') dx'\right]$$

$$\text{Region II : } \phi_{II}(x) = A |Q(x)|^{-1/2} \exp\left[\int_{x_2}^x |Q(x')| dx'\right] + B |Q(x)|^{-1/2} \exp\left[-\int_{x_2}^x |Q(x')| dx'\right]$$

$$\text{Region III : } \phi_{III}(x) = D Q^{-1/2}(x) \exp\left[-i \int_{x_1}^x Q(x') dx'\right]$$

$$Q^2 = \left(\frac{E}{\hbar c}\right)^2 - V \cong \left(\frac{E}{\hbar c}\right)^2 - V(x_2) - V'(x_2)(x - x_2) = -V'(x_2)(x - x_2) = \alpha^3(x - x_2)$$

其中  $\alpha = [-V'(x_2)]^{1/3}$  ，令  $z = \alpha(x - x_2)$  ，因此  $Q \cong \sqrt{\alpha^3(x - x_2)} = \alpha\sqrt{z}$

$$\int_{x_2}^x Q(x') dx' = \int_{x_2}^x \sqrt{\alpha^3(x' - x_2)} dx' = \sqrt{\alpha^3} \int_{x_2}^x \sqrt{(x' - x_2)} dx' = \frac{2}{3} \sqrt{\alpha^3} (x - x_2)^{3/2} = \frac{2}{3} z^{3/2}$$

$$\begin{aligned}
\phi_1 &= \frac{1}{\sqrt{\alpha z^{1/4}}} \exp\left[-i\frac{2}{3}z^{3/2}\right] + \frac{C}{\sqrt{\alpha z^{1/4}}} \exp\left[i\frac{2}{3}z^{3/2}\right] \\
&= \left[\frac{-i \exp(i\pi/4)}{\sqrt{\alpha z^{1/4}}} + iC \frac{\exp(-i\pi/4)}{\sqrt{\alpha z^{1/4}}}\right] \sin\left[\frac{2}{3}z^{3/2} + \frac{\pi}{4}\right] \\
&\quad + \left[\frac{\exp(i\pi/4)}{\sqrt{\alpha z^{1/4}}} + C \frac{\exp(-i\pi/4)}{\sqrt{\alpha z^{1/4}}}\right] \cos\left[\frac{2}{3}z^{3/2} + \frac{\pi}{4}\right]
\end{aligned}$$

$$Q^2 \cong \alpha^3(x-x_2) = \alpha^2 z, \quad \frac{d^2}{dx^2} = \alpha^2 \frac{d^2}{dz^2}$$

$$\frac{d^2\phi}{dx^2} + Q^2\phi = 0 \Rightarrow \frac{d^2\phi_p}{dx^2} + \alpha^2 z\phi_p = 0 \Rightarrow \frac{d^2\phi_p}{dz^2} = -z\phi_p$$

$$\text{令 } w = -z, \quad \frac{d^2}{dz^2} = \frac{d^2}{dw^2}, \quad \text{可得}$$

$$\frac{d^2\phi_p}{dw^2} = w\phi_p \quad (3.23)$$

(3.23)式為 Airy 方程式，其解為 Airy 函數，當  $w \ll 0$  時

$$\begin{aligned}
\phi_p &= aA_i(w) + bB_i(w) \\
&= \frac{a}{\sqrt{\pi}(-w)^{1/4}} \sin\left[\frac{2}{3}(-w)^{3/2} + \frac{\pi}{4}\right] + \frac{b}{\sqrt{\pi}(-w)^{1/4}} \cos\left[\frac{2}{3}(-w)^{3/2} + \frac{\pi}{4}\right] \\
&= \frac{a}{\sqrt{\pi z^{1/4}}} \sin\left[\frac{2}{3}z^{3/2} + \frac{\pi}{4}\right] + \frac{b}{\sqrt{\pi z^{1/4}}} \cos\left[\frac{2}{3}z^{3/2} + \frac{\pi}{4}\right]
\end{aligned}$$

其中  $A_i(w)$  與  $B_i(w)$  為 Airy 函數， $\phi_1 = \phi_p$

$$\begin{aligned}
&\frac{a}{\sqrt{\pi z^{1/4}}} \sin\left[\frac{2}{3}z^{3/2} + \frac{\pi}{4}\right] + \frac{b}{\sqrt{\pi z^{1/4}}} \cos\left[\frac{2}{3}z^{3/2} + \frac{\pi}{4}\right] \\
&= \left[\frac{-i \exp(i\pi/4)}{\sqrt{\alpha z^{1/4}}} + iC \frac{\exp(-i\pi/4)}{\sqrt{\alpha z^{1/4}}}\right] \sin\left[\frac{2}{3}z^{3/2} + \frac{\pi}{4}\right] \\
&\quad + \left[\frac{\exp(i\pi/4)}{\sqrt{\alpha z^{1/4}}} + C \frac{\exp(-i\pi/4)}{\sqrt{\alpha z^{1/4}}}\right] \cos\left[\frac{2}{3}z^{3/2} + \frac{\pi}{4}\right]
\end{aligned}$$

比較可得

$$\begin{cases} a = \sqrt{\frac{\pi}{\alpha}} [-i \exp(i\pi/4) + iC \exp(-i\pi/4)] \\ b = \sqrt{\frac{\pi}{\alpha}} [\exp(i\pi/4) + C \exp(-i\pi/4)] \end{cases} \quad (3.24)$$

$$Q \cong \sqrt{\alpha^3(x-x_2)}, \quad \alpha = [-V'(x_2)]^{1/3}, \quad z = \alpha(x-x_2), \quad |Q| \cong \sqrt{(-\alpha)^3(x-x_2)} = \alpha\sqrt{-z}$$

$$\begin{aligned}
\int_{x_2}^x |Q(x')| dx' &= \int_{x_2}^x \sqrt{(-\alpha)^3(x'-x_2)} dx' = \sqrt{(-\alpha)^3} \int_{x_2}^x \sqrt{(x'-x_2)} dx' \\
&= \frac{2}{3} \sqrt{(-\alpha)^3} (x-x_2)^{3/2} = \frac{2}{3} (-z)^{3/2}
\end{aligned}$$

$$\phi_{II} = \frac{A}{\sqrt{\alpha}(-z)^{1/4}} \exp\left[\frac{2}{3}(-z)^{3/2}\right] + \frac{B}{\sqrt{\alpha}(-z)^{1/4}} \exp\left[-\frac{2}{3}(-z)^{3/2}\right]$$

$$\frac{d^2\phi_p}{dw^2} = w\phi_p, \quad w = -z = -\alpha(x-x_2), \quad \text{當 } w \gg 0 \text{ 時}$$

$$\begin{aligned} \phi_p &= aA_i(w) + bB_i(w) \\ &= \frac{a}{2\sqrt{\pi}w^{1/4}} \exp\left[-\frac{2}{3}w^{3/2}\right] + \frac{b}{\sqrt{\pi}w^{1/4}} \exp\left[\frac{2}{3}w^{3/2}\right] \\ &= \frac{a}{2\sqrt{\pi}(-z)^{1/4}} \exp\left[-\frac{2}{3}(-z)^{3/2}\right] + \frac{b}{\sqrt{\pi}(-z)^{1/4}} \exp\left[\frac{2}{3}(-z)^{3/2}\right] \end{aligned}$$

$$\phi_{II} = \phi_p$$

$$\begin{aligned} &\frac{a}{2\sqrt{\pi}(-z)^{1/4}} \exp\left[-\frac{2}{3}(-z)^{3/2}\right] + \frac{b}{\sqrt{\pi}(-z)^{1/4}} \exp\left[\frac{2}{3}(-z)^{3/2}\right] \\ &= \frac{A}{\sqrt{\alpha}(-z)^{1/4}} \exp\left[\frac{2}{3}(-z)^{3/2}\right] + \frac{B}{\sqrt{\alpha}(-z)^{1/4}} \exp\left[-\frac{2}{3}(-z)^{3/2}\right] \end{aligned}$$

比較可得

$$\begin{cases} a = 2\sqrt{\frac{\pi}{\alpha}}B \\ b = \sqrt{\frac{\pi}{\alpha}}A \end{cases} \quad (3.25)$$

由(3.24)、(3.25)式可得

$$\begin{cases} 2B = -i \exp(i\pi/4) + iC \exp(-i\pi/4) \\ A = \exp(i\pi/4) + C \exp(-i\pi/4) \end{cases} \quad (3.26)$$

$$Q^2 = \left(\frac{E}{\hbar c}\right)^2 - V \cong \left(\frac{E}{\hbar c}\right)^2 - V(x_1) - V'(x_1)(x-x_1) = -V'(x_1)(x-x_1) = -\beta^3(x-x_1)$$

其中  $\beta = [V'(x_1)]^{1/3}$ ，令  $z = \beta(x-x_1)$ ，因此  $Q \cong \sqrt{-\beta^3(x-x_1)} = \beta\sqrt{-z}$

$$\begin{aligned} \int_{x_1}^x Q(x')dx' &= \int_{x_1}^x \sqrt{-\beta^3(x'-x_1)}dx' = \sqrt{(-\beta)^3} \int_{x_1}^x \sqrt{(x'-x_1)}dx' \\ &= \frac{2}{3}\sqrt{(-\beta)^3}(x-x_1)^{3/2} = \frac{2}{3}(-z)^{3/2} \end{aligned}$$

$$\begin{aligned} \phi_{III} &= \frac{D}{\sqrt{\beta}(-z)^{1/4}} \exp\left[-i\frac{2}{3}(-z)^{3/2}\right] \\ &= -\frac{iD \exp(i\pi/4)}{\sqrt{\beta}(-z)^{1/4}} \sin\left[\frac{2}{3}(-z)^{3/2} + \frac{\pi}{4}\right] + \frac{D \exp(i\pi/4)}{\sqrt{\beta}(-z)^{1/4}} \cos\left[\frac{2}{3}(-z)^{3/2} + \frac{\pi}{4}\right] \end{aligned}$$

$$Q^2 \cong -\beta^3(x-x_1) = -\beta^2 z, \quad \frac{d^2}{dx^2} = \beta^2 \frac{d^2}{dz^2}$$

$$\frac{d^2\phi}{dx^2} + Q^2\phi = 0 \Rightarrow \frac{d^2\phi_p}{dx^2} - \beta^2 z\phi_p = 0 \Rightarrow \frac{d^2\phi_p}{dz^2} = z\phi_p$$

當  $z \ll 0$  時

$$\begin{aligned}\phi_p &= a'A_i(z) + b'B_i(z) \\ &= \frac{a'}{\sqrt{\pi}(-z)^{1/4}} \sin\left[\frac{2}{3}(-z)^{3/2} + \frac{\pi}{4}\right] + \frac{b'}{\sqrt{\pi}(-z)^{1/4}} \cos\left[\frac{2}{3}(-z)^{3/2} + \frac{\pi}{4}\right]\end{aligned}$$

$\phi_{III} = \phi_p$

$$\begin{aligned}&\frac{a'}{\sqrt{\pi}(-z)^{1/4}} \sin\left[\frac{2}{3}(-z)^{3/2} + \frac{\pi}{4}\right] + \frac{b'}{\sqrt{\pi}(-z)^{1/4}} \cos\left[\frac{2}{3}(-z)^{3/2} + \frac{\pi}{4}\right] \\ &= -\frac{iD \exp(i\pi/4)}{\sqrt{\beta}(-z)^{1/4}} \sin\left[\frac{2}{3}(-z)^{3/2} + \frac{\pi}{4}\right] + \frac{D \exp(i\pi/4)}{\sqrt{\beta}(-z)^{1/4}} \cos\left[\frac{2}{3}(-z)^{3/2} + \frac{\pi}{4}\right]\end{aligned}$$

比較可得

$$\begin{cases} a' = \sqrt{\frac{\pi}{\beta}} [-iD \exp(i\pi/4)] \\ b' = \sqrt{\frac{\pi}{\beta}} [D \exp(i\pi/4)] \end{cases} \quad (3.27)$$

$$\begin{aligned}\phi_{II}(x) &= A|Q(x)|^{-1/2} \exp\left[\int_{x_2}^x |Q(x')| dx'\right] + B|Q(x)|^{-1/2} \exp\left[-\int_{x_2}^x |Q(x')| dx'\right] \\ &= A|Q(x)|^{-1/2} \exp\left[\int_{x_2}^{x_1} |Q(x')| dx'\right] \exp\left[\int_{x_1}^x |Q(x')| dx'\right] \\ &\quad + B|Q(x)|^{-1/2} \exp\left[-\int_{x_2}^{x_1} |Q(x')| dx'\right] \exp\left[-\int_{x_1}^x |Q(x')| dx'\right]\end{aligned}$$

$$Q \cong \sqrt{-\beta^3(x-x_1)}, \quad \beta = [V'(x_1)]^{1/3}, \quad z = \beta(x-x_1), \quad |Q| \cong \sqrt{\beta^3(x-x_1)} = \beta\sqrt{z}$$

$$\begin{aligned}\int_{x_1}^x |Q(x')| dx' &= \int_{x_1}^x \sqrt{\beta^3(x'-x_1)} dx' = \sqrt{\beta^3} \int_{x_1}^x \sqrt{(x'-x_1)} dx' \\ &= \frac{2}{3} \sqrt{\beta^3} (x-x_1)^{3/2} = \frac{2}{3} z^{3/2}\end{aligned}$$

$$\begin{aligned}\phi_{II} &= \frac{A}{\sqrt{\beta}z^{1/4}} \exp\left[\int_{x_2}^{x_1} |Q(x')| dx'\right] \exp\left[\frac{2}{3} z^{3/2}\right] \\ &\quad + \frac{B}{\sqrt{\beta}z^{1/4}} \exp\left[-\int_{x_2}^{x_1} |Q(x')| dx'\right] \exp\left[-\frac{2}{3} z^{3/2}\right]\end{aligned}$$

$$\frac{d^2\phi_p}{dz^2} = z\phi_p, \quad \text{當 } z \gg 0 \text{ 時}$$

$$\begin{aligned}\phi_p &= a'A_i(z) + b'B_i(z) \\ &= \frac{a'}{2\sqrt{\pi z^{1/4}}} \exp\left[-\frac{2}{3}z^{3/2}\right] + \frac{b'}{\sqrt{\pi z^{1/4}}} \exp\left[\frac{2}{3}z^{3/2}\right]\end{aligned}$$

$$\phi_{II} = \phi_p$$

$$\begin{aligned}&\frac{a'}{2\sqrt{\pi z^{1/4}}} \exp\left[-\frac{2}{3}z^{3/2}\right] + \frac{b'}{\sqrt{\pi z^{1/4}}} \exp\left[\frac{2}{3}z^{3/2}\right] \\ &= \frac{A}{\sqrt{\beta z^{1/4}}} \exp\left[\int_{x_2}^{x_1} |Q(x')| dx'\right] \exp\left[\frac{2}{3}z^{3/2}\right] \\ &\quad + \frac{B}{\sqrt{\beta z^{1/4}}} \exp\left[-\int_{x_2}^{x_1} |Q(x')| dx'\right] \exp\left[-\frac{2}{3}z^{3/2}\right]\end{aligned}$$

比較可得

$$\begin{cases} a' = \sqrt{\frac{\pi}{\beta}} \left\{ 2B \exp\left[-\int_{x_2}^{x_1} |Q(x')| dx'\right] \right\} \\ b' = \sqrt{\frac{\pi}{\beta}} \left\{ A \exp\left[\int_{x_2}^{x_1} |Q(x')| dx'\right] \right\} \end{cases} \quad (3.28)$$

由(3.27)式及(3.28)式可得

$$\begin{cases} 2B \exp\left[-\int_{x_2}^{x_1} |Q(x')| dx'\right] = -iD \exp(i\pi/4) \\ A \exp\left[\int_{x_2}^{x_1} |Q(x')| dx'\right] = D \exp(i\pi/4) \end{cases} \quad (3.29)$$

將(3.26)式與(3.29)式聯立

$$\begin{cases} 2B = -i \exp(i\pi/4) + iC \exp(-i\pi/4) \end{cases} \quad (3.30)$$

$$\begin{cases} A = \exp(i\pi/4) + C \exp(-i\pi/4) \end{cases} \quad (3.31)$$

$$\begin{cases} 2B \exp\left[-\int_{x_2}^{x_1} |Q(x')| dx'\right] = -iD \exp(i\pi/4) \end{cases} \quad (3.32)$$

$$\begin{cases} A \exp\left[\int_{x_2}^{x_1} |Q(x')| dx'\right] = D \exp(i\pi/4) \end{cases} \quad (3.33)$$

將(3.30)式代入(3.32)式，將(3.31)式代入(3.33)式

$$\begin{cases} [-i \exp(i\pi/4) + iC \exp(-i\pi/4)] \exp\left[-\int_{x_2}^{x_1} |Q(x')| dx'\right] = -iD \exp(i\pi/4) \\ [\exp(i\pi/4) + C \exp(-i\pi/4)] \exp\left[\int_{x_2}^{x_1} |Q(x')| dx'\right] = D \exp(i\pi/4) \end{cases}$$

$$\Rightarrow \begin{cases} (1+iC) \exp\left[-\int_{x_2}^{x_1} |Q(x')| dx'\right] = D \\ (1-iC) \exp\left[\int_{x_2}^{x_1} |Q(x')| dx'\right] = D \end{cases} \quad (3.34)$$

(3.34)式可再化為一式

$$(1+iC)\exp\left[-\int_{x_2}^{x_1}|Q(x')|dx'\right] = (1-iC)\exp\left[\int_{x_2}^{x_1}|Q(x')|dx'\right]$$

$$\Rightarrow C = i \left\{ \frac{\exp\left[2\int_{x_1}^{x_2}|Q(x')|dx'\right] - 1}{\exp\left[2\int_{x_1}^{x_2}|Q(x')|dx'\right] + 1} \right\} \quad (3.35)$$

將(3.35)式代入(3.34)式，可得

$$D = \frac{2\exp\left[\int_{x_1}^{x_2}|Q(x')|dx'\right]}{\exp\left[2\int_{x_1}^{x_2}|Q(x')|dx'\right] + 1} \quad (3.36)$$

(3.35)式與(3.36)式可合併成一式

$$|C|^2 + |D|^2 = 1 \quad (3.37)$$

(3.37)式即是機率守恆關係式， $|C|^2$ 是反射率，相當於第二章的反射率  $R$ ， $|D|^2$ 是透射率，相當於第二章的透射率  $T$ 。

### 3.6 反射率與透射率的數值計算與 WKB 近似的結果比較

$R-\zeta$  及  $T-\zeta$  關係圖（縱軸座標為機率大小，橫軸座標為  $\zeta$ ）

$m_0 = 0$ ， $l = 0$

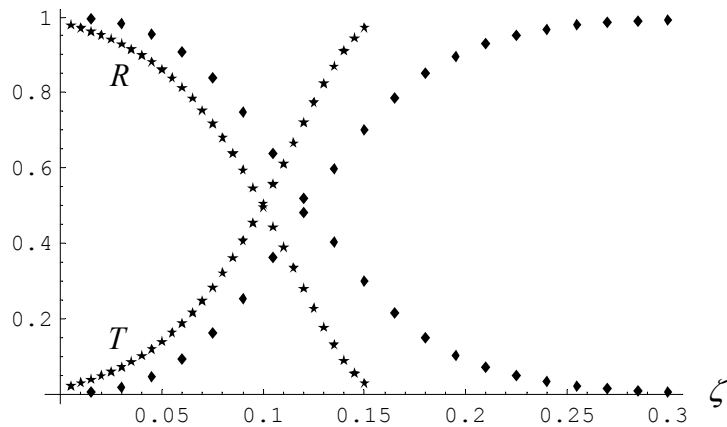


圖 3.3：「◆」符號是利用數值方法求得的  $R-\zeta$  及  $T-\zeta$  關係圖，「★」符號是利用 WKB 近似法求得的  $R-\zeta$  及  $T-\zeta$  關係圖。

$$m_0 = 0, l = 1$$

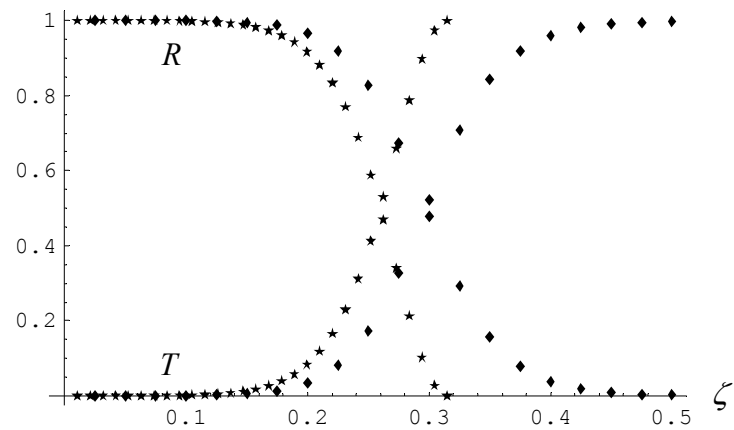


圖 3.4：「◆」符號是利用數值方法求得的  $R-\zeta$  及  $T-\zeta$  關係圖，「★」符號是利用 WKB 近似法求得的  $R-\zeta$  及  $T-\zeta$  關係圖。

$$m_0 = 0, l = 2$$

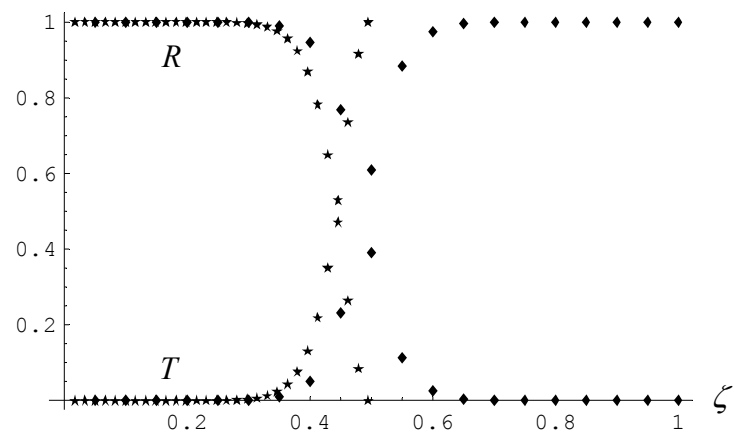


圖 3.5：「◆」符號是利用數值方法求得的  $R-\zeta$  及  $T-\zeta$  關係圖，「★」符號是利用 WKB 近似法求得的  $R-\zeta$  及  $T-\zeta$  關係圖。



$$Q = \sqrt{\left(\frac{E}{\hbar c}\right)^2 - V} = \sqrt{\left(\frac{E}{\hbar c}\right)^2 - \left\{ \frac{1}{(2GM/c^2)^2} \left[ \frac{y}{(y+1)^4} + l(l+1) \frac{y}{(y+1)^3} \right] + \left(\frac{m_0 c}{\hbar}\right)^2 \frac{y}{y+1} \right\}}$$

$$x = \frac{2GM}{c^2} [y+1 + \ln(y)], \quad \frac{dx}{dy} = \frac{2GM}{c^2} \left( \frac{y+1}{y} \right)$$

$$\begin{aligned} \int_{x_1}^{x_2} |Q(x')| dx' &= \int_{y_1}^{y_2} |Q(y')| \left( \frac{dx'}{dy'} \right) dy' \\ &= \int_{y_1}^{y_2} \sqrt{\left(\frac{E}{\hbar c}\right)^2 - \left\{ \frac{1}{(2GM/c^2)^2} \left[ \frac{y'}{(y'+1)^4} + l(l+1) \frac{y'}{(y'+1)^3} \right] + \left(\frac{m_0 c}{\hbar}\right)^2 \frac{y'}{y'+1} \right\}} \left( \frac{2GM}{c^2} \right) \left( \frac{y'+1}{y'} \right) dy' \\ &= \int_{y_1}^{y_2} \sqrt{\left(\frac{E}{\hbar c}\right)^2 \left(\frac{2GM}{c^2}\right)^2 - \left\{ \left[ \frac{y'}{(y'+1)^4} + l(l+1) \frac{y'}{(y'+1)^3} \right] + \left(\frac{m_0 c}{\hbar}\right)^2 \left(\frac{2GM}{c^2}\right)^2 \frac{y'}{y'+1} \right\}} \left( \frac{y'+1}{y'} \right) dy' \\ &= \int_{y_1}^{y_2} \sqrt{(2\zeta)^2 - \left\{ \left[ \frac{y'}{(y'+1)^4} + l(l+1) \frac{y'}{(y'+1)^3} \right] + (2\eta)^2 \frac{y'}{y'+1} \right\}} \left( \frac{y'+1}{y'} \right) dy' \end{aligned}$$

下圖是利用 WKB 近似法所求得的  $R-\zeta$  及  $T-\zeta$  關係圖（縱軸座標為機率大小，橫軸座標為  $\zeta$ ）

$$\eta = 1/2, \quad l = 2$$

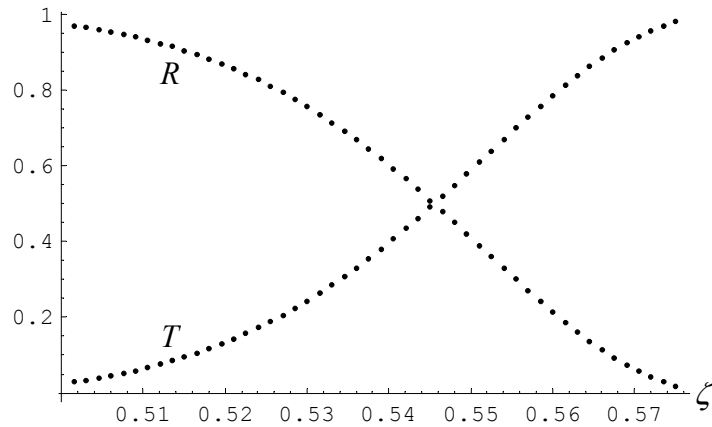


圖 3.6：上圖是利用 WKB 近似法求得的  $R-\zeta$  及  $T-\zeta$  關係圖。  
當  $\zeta \cong 0.55$  時， $R = T = 0.5$ 。

$$\eta = 1/2, l = 3$$

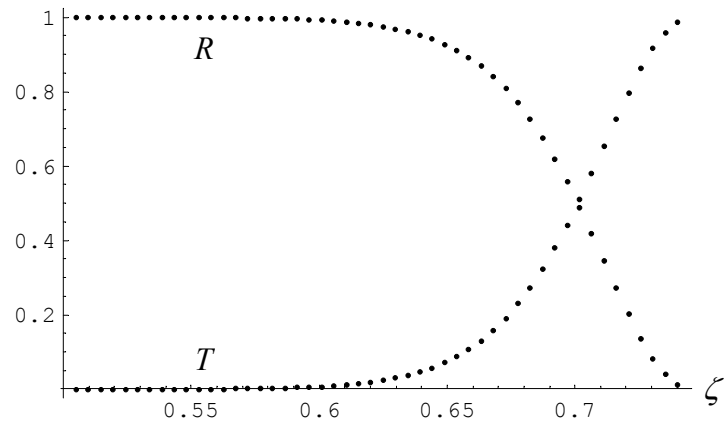


圖 3.7：上圖是利用 WKB 近似法求得的  $R-\zeta$  及  $T-\zeta$  關係圖。  
當  $\zeta \cong 0.7$  時， $R = T = 0.5$ 。

$$\eta = 1/2, l = 4$$

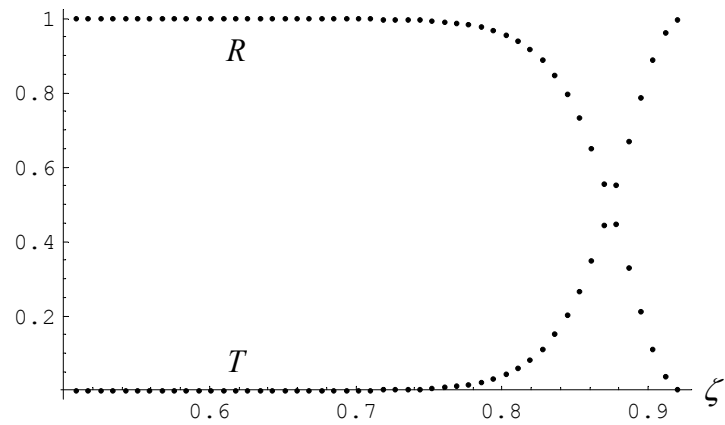


圖 3.8：上圖是利用 WKB 近似法求得的  $R-\zeta$  及  $T-\zeta$  關係圖。  
當  $\zeta \cong 0.9$  時， $R = T = 0.5$ 。